



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5 : A61M 25/01	A1	(11) International Publication Number: WO 92/06733 (43) International Publication Date: 30 April 1992 (30.04.92)
(21) International Application Number: PCT/US91/07710 (22) International Filing Date: 15 October 1991 (15.10.91) (30) Priority data: 600,483 19 October 1990 (19.10.90) US (71) Applicants: TRUSTEES OF BOSTON UNIVERSITY [US/US]; 147 Bay State Road, Boston, MA 02115 (US). JOHNS HOPKINS UNIVERSITY [US/US]; 720 Rutland Avenue, Baltimore, MD 21205 (US). DLP, INC. [US/US]; 620 Watson Street, S.W., Grand Rapids, MI 59401 (US). (72) Inventors: FONGER, James, D. ; 95 Hillside Drive, Wayland, MA 01778 (US). JONKMAN, Kenneth, R. ; 219 Ives Street, S.W., Grand Rapids, MI 49504 (US). ANDERSON, James, H. ; 9813 Davidge Drive, Columbia, MD 21044 (US). MITCHELL, Sally, E. ; 3601 Greenway Avenue, Apt. No 507, Baltimore, MD 21218 (US).		(74) Agents: SMITH, James, M. et al.; Hamilton, Brook, Smith & Reynolds, Two Militia Drive, Lexington, MA 02139 (US). (81) Designated States: AT (European patent), BE (European patent), CH (European patent), DE (European patent), DK (European patent), ES (European patent), FR (European patent), GB (European patent), GR (European patent), IT (European patent), JP, LU (European patent), NL (European patent), SE (European patent). Published <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
(54) Title: PERCUTANEOUS TRANSSEPTAL LEFT ATRIAL CANNULATION SYSTEM		
(57) Abstract <p>A transseptal left atrial cannulation system which provides drainage of left atrial blood without the need for thoracotomy. A guide wire (17) and a long needle assembly (9, 11, 15) are inserted into a catheter (5). A cannula (3) rides over the exterior of this catheter. The guide wire may be advanced past the needle assembly and through a catheter through the distal end of the catheter to assist in directing the system to the right atrium. The cannulation system is inserted in a femoral vein located in the groin. Both the guide wire and needle assembly are long enough to allow a substantial length to extend out of the body at the groin for manipulation even when the distal ends of the guide wire and needle assembly are positioned in the heart. When the catheter distal end is positioned adjacent the septum in the right atrium, the guide wire is withdrawn from the catheter orifice and the needle assembly moves past the guide wire and through the catheter orifice to a position adjacent to the septum. The needle pierces the septum and the catheter moves over the needle assembly to further dilate the septal hole. The cannula attached to the catheter also moves through the septal hole, further dilating it, and resisting with the holes in the left atrium. The guide wire, the needle assembly, and the catheter are withdrawn from the cannula. Oxygenated blood from the left atrium drains through the cannula to the extracorporeal pump and back to the body through an arterial cannula.</p>		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	ES	Spain	MG	Madagascar
AU	Australia	FI	Finland	ML	Mali
BB	Barbados	FR	France	MN	Mongolia
BE	Belgium	GA	Gabon	MR	Mauritania
BF	Burkina Faso	GB	United Kingdom	MW	Malawi
BG	Bulgaria	GN	Guinea	NL	Netherlands
BJ	Benin	GR	Greece	NO	Norway
BR	Brazil	HU	Hungary	PL	Poland
CA	Canada	IT	Italy	RO	Romania
CF	Central African Republic	JP	Japan	SD	Sudan
CG	Congo	KP	Democratic People's Republic of Korea	SE	Sweden
CH	Switzerland	KR	Republic of Korea	SN	Senegal
CI	Côte d'Ivoire	LI	Liechtenstein	SU ⁺	Soviet Union
CM	Cameroon	LK	Sri Lanka	TD	Chad
CS	Czechoslovakia	LU	Luxembourg	TG	Togo
DE*	Germany	MC	Monaco	US	United States of America
DK	Denmark				

⁺ Any designation of "SU" has effect in the Russian Federation. It is not yet known whether any such designation has effect in other States of the former Soviet Union.

-1-

PERCUTANEOUS TRANSSEPTAL LEFT ATRIAL CANNULATION
SYSTEM

Background

Circulatory support during coronary bypass
05 surgery, heart transplantation, or after failed
coronary angioplasty is currently achieved using
cardiopulmonary bypass. This involves the complete
support of the heart and lungs by diverting all the
blood returning to the heart through a pump and
10 oxygenator, before returning it to the arterial
circulation. During coronary artery bypass grafting
or heart transplantation, cannulation for
cardiopulmonary bypass is done at surgery through
the chest, whereas cardiopulmonary bypass for failed
15 coronary angioplasty can be done percutaneously
through the groin in the cardiac catheterization lab.
Regardless of the circumstances or route of
cannulation, cardiopulmonary bypass has a time
limitation of three to four hours due to the
20 continued trauma to formed blood elements such as
platelets and red blood cells. This is primarily
due to the oxygenator in the circuit. The patient
must undergo full anticoagulation with heparin prior
to cardiopulmonary bypass and the bypass circuit
25 must be assembled and run by a certified
perfusionist.

Circulatory support before and after surgery
may be required for several days. Usually the lungs
and right ventricle are functioning adequately and

-2-

only the left ventricle requires extended support. The employment of left ventricular assist allows extended circulatory support without the blood trauma of cardiopulmonary bypass or the services of
05 a perfusionist and requires only partial anticoagulation.

Left ventricular assist requires the drainage of blood from the left atrium of the heart which is currently done by cannulation of the left atrium at
10 the time of surgery. In 1962, an alternative method called "transseptal left atrial cannulation" was proposed by Dennis et al. in "Left Atrial Cannulation without Thoracotomy for Total Left Heart Bypass", Aca. Chir. Scand. 123: 267-279, 1962 using
15 a metal cannula directed down the right jugular vein. The cannula was directed across the interatrial septum and drained left atrial blood without the need for thoracotomy. More recently, Glassman et al. in "A method of closed-chest
20 cannulation of the left atrium for left atrial-femoral artery bypass", The Journal of Thoracic and Cardiovascular Surgery, Vol. 69, No. 2, Feb. 1975 has advocated transseptal left atrial cannulation by the right femoral vein. These
25 publications describe hardware and procedures which are too complex and awkward for widespread clinical acceptance.

U.S. Patent No. 4,790,825 issued to Bernstein et al. illustrates one proposed method of
30 transseptal left atrial cannulation based largely on

-3-

work with the Glassman group. In Bernstein, first a guide wire protruding through a catheter is inserted into the femoral vein and directs the catheter up the veins to the right atrium. Second, the guide
05 wire is withdrawn from the entire length of the catheter and a needle is directed up the entire length of the catheter and protrudes out the end. The needle pierces the interatrial septum and the catheter is advanced over the needle into the left
10 atrium. Third, the needle is removed from the entire length of the catheter and an obturator (with a circular barb for attaching to the catheter hub) is directed up the entire length of the catheter. Fourth, an external obturator extension is screwed
15 on to the internal obturator. Fifth, a cannula is threaded over the entire length of the catheter and obturator with the tip positioned in the left atrium. Finally, the catheter and the obturator are removed from the interior of the cannula. A
20 thoracotomy is not required for insertion or removal of the left atrial cannula.

Summary of the Invention

The cannulation method of Bernstein is complex. The insertion and removal of the guide wire, the
25 needle, and obturator within the catheter risks potential system movement, dislodgement, inadvertent puncturing of chamber walls, and may compromise system sterility. Valuable time is wasted during the required insertions and removals. Also, if the

-4-

internal obturator circular barb should malfunction, the catheter cannot be removed from within the cannula. Accordingly, a simpler, quicker, and safer technique for transseptal left atrial cannulation is desirable.

05 The invention comprises a method and device for draining blood from the left atrium of the heart by utilizing a cannula and catheter in which a guide wire and a needle assembly are positioned axially.

10 The guide wire and the needle assembly can be extended alternately through the distal catheter orifice. A cannula is positioned over the catheter (and can slide thereover) and is inserted into a blood vessel with the catheter. This axial

15 configuration of all the system elements obviates the need for repeated insertion and withdrawal of the guide wire and the needle. Both the guide wire and needle are initially and throughout the procedure positioned within the catheter close to

20 the catheter orifice and can be alternately advanced. The cannula is also initially moved through the veins with the catheter. Once the cannula has been advanced into the left atrium, the guide wire, needle assembly and the catheter can be

25 easily withdrawn in an integral fashion without the risk of barb malfunction leaving the catheter behind. Thus, left drainage can be accomplished safely, quickly, and without compromising sterility.

The device is used as the venous cannula in a

30 percutaneous transseptal left atrial cannulation

-5-

system for a left ventricular assist. In use, the catheter, guide wire, needle assembly, and cannula are coaxially configured and inserted together. The device is inserted into the femoral vein in the

05 groin, the guide wire is extended through the distal catheter orifice, and under fluoroscopic guidance, the guide wire followed by the catheter, needle, and cannula are positioned in the right atrium of the heart. Both the guide wire and needle assembly are

10 long enough to allow a substantial length to extend out of the body at the groin for manipulation even when the distal ends of the guide wire and needle assembly are positioned in the heart. The guide wire is withdrawn into the catheter and remains

15 within the catheter body. The needle assembly is advanced through the catheter orifice to the septum and pierces a hole through the septum into the left atrium. The needle assembly is stiff enough to permit the catheter to advance over it through the

20 septum and into the left atrium. The cannula is then advanced over the catheter through the septum into the left atrium. (Conventional, off the shelf interatrial septal needles are too short and flexible. For example, the conventional Ross and

25 Brockenbrough needles would not be stiff enough to allow the cannula to ride thereover when the needle is positioned in the heart and would not be long enough to allow manipulation through a catheter/cannula coupling assembly.) The guide

30 wire, needle assembly, and catheter are removed as

-6-

an integral unit, leaving only the cannula with its tip in the left atrium. Oxygenated blood from the left atrium of the heart is drained by this venous cannula and is returned to the body by an arterial
05 cannula after passing through an extra-corporeal pump. Thus, left ventricular assist is accomplished without the need for thoracotomy.

This technique is simple, safe, efficient and inexpensive. Insertion and removal of individual
10 system elements is avoided and the surgical procedure of thoracotomy is not required for placement or removal. The time restrictions of conventional cardiopulmonary bypass are removed and full patient anticoagulation is not required for
15 this simple extra-corporeal assist circuit. A certified perfusionist is not required to set up or run this system and the cannulae connect to a simple centrifugal pump which is already available as conventional hospital equipment.

20 The preferred invention includes a peel-away sheath assembly comprised of a thin-walled tube with a tapered end which covers a plurality of holes on the side of the end of the cannula. A hub is molded onto the thin-walled tube. The hub and tube are
25 scored so that they can be pulled back from the cannula and peeled away. During the initial stage of insertion of the system into the femoral vein, the sheath prevents the cannula holes from accumulating particulate fat debris prior to
30 reaching the blood stream. The sheath is pulled

-7-

back and peeled away after the cannula is within the femoral vein. This ensures no debris will reach the left atrium and possibly cause a stroke.

In the preferred embodiment, the cannula is
05 coated on both sides with an anti-thrombogenic coating to minimize the potential for blood coagulation on the cannula during long term use.

In the preferred embodiment, the needle assembly includes a metal tube with a narrowed
10 distal end such that a predetermined length of tube can extend out of the catheter orifice but a thicker tube width is stopped at the orifice. The metal tube comprises an inner metal tube which is fixed coaxially within but extends beyond a second outer
15 metal tube. The inner tube has a distal end which is rounded to prevent scraping within the catheter. The inner tube is small enough to pass through the catheter orifice, whereas the outer tube cannot. Thus, the inner tube protrudes only a fixed safe
20 distance from the catheter orifice. A needle wire can be positioned within the inner tube and can be advanced a fixed distance out the distal end of the tube to sharpen the needle. The inner needle lumen also allows aspiration of blood to confirm correct
25 left atrial positioning. The needle assembly is stiff enough to also function as the obturator which holds the catheter rigid during cannula advancement.

The distal end of the needle assembly can be molded into a curve by the operator to assist in
30 directing the needle across the septum. However,

-8-

under single plane fluoroscopic guidance the needle direction cannot be accurately determined from the screen alone. To confirm the spatial orientation of the curved end of the needle, a hub with a pointer
05 is connected to the proximal end of the needle assembly.

The above and other features of the invention including various novel details of construction and combinations of parts will now be more particularly
10 described with reference to the accompanying drawings and pointed out in the claims. It will be understood that the particular device embodying the invention is shown by way of illustration only and not as a limitation of the invention. The
15 principles and features of this invention may be employed in varied and numerous embodiments without departing from the scope of the invention.

Brief Description of the Drawings

Figure 1(a) illustrates a longitudinal cross-
20 sectional view of the distal end of the cannulation system.

Figure 1(b) shows a side view of the distal end of the cannulation system.

Figure 2(a) illustrates a longitudinal cross-
25 sectional view of the proximal end of the cannulation system.

Figure 2(b) shows a side view of the proximal end of the cannulation system.

-9-

Figure 3(a) shows a reducer plug in the system of Figure 2(b).

Figure 3(b) illustrates a cannula hub in the system of Figure 2(b).

05 Figure 3(c) and 3(d) illustrate side and transverse end views, respectively, of the dual lumen elastic bushing of Figure 2(b).

Figure 3(e) shows a side view of the proximal end of the catheter.

10 Figure 3(f) shows the proximal end of the guide wire.

Figure 3(g) illustrates a transverse end view of the hub and pointer of the needle assembly proximal end.

15 Figure 3(h) shows a longitudinal view of the needle assembly proximal end.

Figure 3(i) shows a longitudinal view of the needle wire proximal end.

Figure 4(a) shows the cannula distal end.

20 Figure 4(b) illustrates the peel-away sheath assembly.

Figure 4(c) shows the catheter distal end.

Figure 4(d) shows the guide wire distal end.

Figure 4(e) shows the needle assembly distal
25 end.

Figure 4(f) shows the needle wire distal end.

Figures 5(a), (b), and (c) illustrate a detailed longitudinal cross-sectional view of the distal assembly with different positions of the
30 guide wire, needle and needle wire.

-10-

Figures 6(a), (b), and (c) show the progressive placement of the cannulation system into the heart.

Figure 7 provides a schematic view of the venous cannulation system, pump, and arterial
05 cannula.

Detailed Description of the Preferred Embodiments

Figures 1(a) and (b) illustrate the distal end of the cannulation system. A radio-opaque
polyurethane catheter 5 comprising a 32 inch long
10 (but can vary in range from 30 inches to 35 inches),
12 french tube contains a guide wire 17 and a needle
assembly 9, 11, 15 which can alternately be advanced
through the orifice 13 as shown in Figure 4(c) in
the catheter distal end. The catheter is not
15 preformed, but the assembly can be bent by the
physician prior to insertion, and the needle retains
the shape and imparts a shape to the catheter as
illustrated. The needle has sufficient shape
memory, yet is sufficiently flexible to follow the
20 shape of a vein without losing its curve once it
moves into the atrium.

As shown in detail in Figures 4(e) and (f) the
needle assembly includes a needle wire 9 which is
stainless steel, a first metal tube 11 which can
25 advance through the catheter orifice 13 and an outer
metal tube 15 which cannot extend through the
catheter orifice 13. A single metal tube with a
narrowed distal end such that a predetermined length
of tube projects out of the catheter orifice but a

-11-

thicker tube width is stopped at the orifice may be substituted for tubes 11 and 15. The needle assembly comprises two pieces of hypodermic stainless steel tubing of No. 3. temper, held
05 together coaxially by a molded PETG copolyester hub 29. The 20 gauge outer diameter of the smaller tube is .75 inches longer than the 37 inch long, 18 gauge larger tube. The stainless steel needle wire 9 is 39 inches long and .015 inches in diameter. (The
10 lengths of the needle assembly components can be shortened by 2 inches or lengthened by 3 inches. The lengths can be any dimension within this range.) The outer tube 15 has a wall thickness of .006 inch, an outer diameter range of .0495 inch - .0505 inch
15 and an inner diameter range of .0375 inch - .0395 inch. The inner tube 11 has a wall thickness of .006 inch, an outer diameter range of .0355 inch -.0360 inch and an inner diameter range of .0230 inch -.0245 inch. The smaller inner metal tube fits
20 inside of the outer metal tube. The smaller tube is than the outer tube and protrudes out the end for a fixed distance and has a rounded end to prevent scraping within the catheter 5. At the catheter tapered tip, the inner diameter is reduced to .036
25 inch so that only the .036 inch guide wire or only the 20 gauge needle can fit through the orifice 13. The outer tube 15 cannot fit through the catheter orifice 13 and fixes the distance which the inner tube 11 can extend beyond the catheter orifice 13.

-12-

The needle assembly punctures the septum and subsequently acts as a stiff curved guide to direct both the catheter and cannula across the septum and into the left atrium. The needle assembly has a
05 stiffness sufficient to guide the catheter and cannula over it as well as have adequate flexibility to permit passage through the veins enroute to the right atrium. Hypodermic needle stock full hard at the aforementioned gauges is used to satisfy the
10 stiffness requirements.

The cannula 3 consists of a 24 inch (but can vary in range from 22 inches to 27 inches) long 21 french radiopaque thin wall polyurethane tube with a tapered tip and side holes 7 at its distal end. The
15 outer diameter for cannula 3 with a 21 french tube is .276 inch. The cannula 3 tube size can vary from 18 french to 24 french. The cannula tapered tip slides over the exterior of catheter 5. The catheter 5 has an inner diameter of .100 inch and
20 the cannula 3 has an inner diameter of .216 inch. The cannula is coated on both sides with an anti-thrombogenic agent. For example, the cannula may be typically bonded with heparin.

A peel-away sheath assembly is comprised of
25 polypropylene hub 1 which is molded onto a 5 inch long thin-walled polytetrafluorethylene tube 31 with a tapered end. Both the hub and the tube are scored in such a way that they will tear longitudinally in half and be easily removed from the cannula. The
30 peel-away sheath covers the holes 7 in the cannula 3

-13-

during the initial stage of percutaneous insertion when the cannula traverses the subcutaneous fat. It shields the cannula holes from accumulating particulate subcutaneous fat debris prior to reaching the blood stream. Once the cannula is within the femoral vein, the sheath is pulled back and peeled away. Figures 4(a) and 4(b) show the cannula and the peel-away sheath assembly in more detail.

10 Figures 2(a) and (b) show the cannulation system proximal end. The catheter-cannula coupling assembly 99 is comprised of cannula hub 19, barb tube connector 21, reducer plug 23, male connector 25, bushing holder 49, bushing 47 and closing ring 15 27. The cannula hub 19 is clear, hollow, and comprised of two polyvinylchloride components 18 and 20. The distal component 20 of hub 19 is flexible and can be clamped. As shown in Figure 3(b), the cannula hub 19 distal end is fixed to the cannula 3. 20 The cannula hub 19 proximal end is rigid and fixed to a rigid, barbed tube connector 21 which has a standard 3/8 inch diameter. A reducer plug 23, shown in detail in Figure 3(a), includes a molded polypropylene male tapered connector on its distal 25 end and a female tapered connector on the proximal end. The reducer plug male connector is attached to the tube connector 21. The tube connector 21 is comprised of a proximal component which is attached to the inner portion of a distal component. The 30 reducer plug female connector wraps around the proximal catheter end to minimize blood loss. As

-14-

shown in Figures 3(c), (d), and (e), the catheter proximal end includes a polypropylene male connector 25 with a bushing holder 49 including jaws, a dual lumen elastic silicone bushing 47, and a closing ring 27 which provides a friction fit to prevent the guide wire and needle assembly from moving if fixation is desired. The guide wire and the needle assembly are alternately moved axially within the catheter. When properly located, their respective positions are fixed by means of closing ring 27 which clamps both elements.

As shown in Figures 3 (g), (h), and (i), the needle assembly proximal end includes a hub 29 attached to the metal tubes, a pointer 33 for indicating the angular orientation of the curved distal end of the needle assembly, and a molded polypropylene hub 35 attached to the needle wire 9. A single plane fluoroscopic display cannot distinguish the anterior or posterior position of the curved needle distal end. However, when display information is combined with the pointer indication, the needle orientation can be determined. Moreover, the integral configuration of the system allows the protected delivery of the needle assembly to the right atrium of the heart over the guide wire. The guide wire 17 is pulled back and the needle wire 9 and the inner metal tube 11 are advanced to effect the transseptal puncture of the heart. Figure 3(f) shows the guide wire proximal end which is moved to position the guide wire. The guide wire 17 is comprised of a stainless steel spring wire wrapped around a separate core wire. The guide wire 17 is

-15-

140 cm long and .036 inch in diameter. The distal end of the wire is more flexible than the center portion. The guide wire is preformed and has hysteresis to assume a curved shape when extended out of the catheter to prevent catching of the wire on venous side branches, as shown by Figure 4(d). The guide wire guides the catheter to the right atrium and once the catheter is in the left atrium it can be used to assess the distance to the lateral left atrial wall. It can also be used to deflect and foreshorten the catheter tip to minimize the risk of damaging the wall of the left atrium after the catheter has advanced through the septum.

Figures 5(a), (b) and (c) illustrate the operation of the catheter elements. The catheter curvature results from conforming to the preformed needle curve. Figure 5(a) shows guide wire 17 extended in a curled configuration to facilitate guiding the catheter through the venous system. Figure 5(b) shows the withdrawn guide wire and extended inner metal tube 11 of the needle assembly. Figure 5(c) shows the needle wire 9 extended through the inner metal tube 11 to sharpen the needle assembly.

Figures 6(a), (b), (c) illustrate the steps in positioning of the inventive system in the heart. The cannulation system is inserted into the femoral vein using a conventional breakaway Seldinger needle through which guide wire 17 is threaded. The transseptal cannulation system is advanced over

-16-

guide wire 17 into the femoral vein. Both the guide wire and needle are long enough to allow a substantial length to extend out of the body at the groin for manipulation even when the distal ends of the guide wire and needle are positioned in the heart. Thus, the cannula can be easily loaded thereover and carried therewith through the vein. Once the cannula holes 7 pass into the blood stream, the sheath 1, 31 is pulled back and peeled away.

The guide wire 17 assists in guiding the cannulation system to the right atrium of the heart under fluoroscopic guidance. Once the catheter is in the right atrium with the cannula at the level of the diaphragm, the guide wire is withdrawn into the catheter and the needle assembly is advanced to the septum. Figure 6(a) shows this position where the curved end of the needle-catheter is touching the septum. The curved needle is dragged down the interatrial system into the fossa ovalis area of the septum. A ridge surrounds this region and provides a tactile and visual indication of falling into the fossa. The tube 11 is oriented 45° dorsally. The fluoroscopic display of the needle tip and the hub pointer on the needle assembly provide confirmation of proper orientation. When the needle is properly positioned, the needle wire 9 is advanced and the septum is pierced.

Figure 6(b) shows the subsequent dilation of the septal hole as the catheter is advanced over the needle assembly. Figure 6(c) shows the further dilation of the septal hole as the cannula enters

-17-

the left atrium. The appearance of red oxygenated blood from the left atrium in the cannula indicates the tip of the cannula is in the left atrium. The fluoroscopic display provides an indication of the
05 actual cannula location. As an option, the needle wire 9 can be removed from the metal tubes and a radio-opaque dye injected to further confirm the location of the cannula. Also, the curved end of the guide wire can be advanced and observed under
10 fluoroscopy to determine the distance to the lateral left atrial wall. When the cannula is properly positioned, the guide wire, needle assembly and catheter are withdrawn and removed.

Figure 7 shows the complete left ventricular
15 assist system. Oxygenated blood from the left atrium drains through the venous cannula 3 to pump 37. A centrifugal pump which can pump blood safely for several days is shown. However, any conventional pump can be used. For example, a
20 roller pump can also be used in the system. The blood is returned to the body by means of an arterial cannula 41 inserted into the femoral artery.

Possible clinical applications of the invention
25 include three separate aspects of adult cardiac care. First, during coronary angioplasty, there is a risk of unexpected coronary artery damage resulting in hemodynamic collapse. If the patient was known to be high risk prior to the angioplasty
30 procedure, a conventional guide wire could be

-18-

positioned across the interatrial septum prior to the angioplasty. This device, with its guide wire removed, could then be inserted over the prepositioned guide wire for left ventricular assist
05 if a significant problem developed during the procedure. If the problem was completely unanticipated, however, the percutaneous transseptal left atrial cannula atrial system would include all the elements necessary to achieve expeditious
10 transseptal left atrial cannulation and facilitate left ventricular assist.

Secondly, in centers that have an active cardiac transplant program, many patients develop severe cardiac failure while waiting for a heart
15 donor. Mild to moderate cardiac failure can be managed with medications and an intra-aortic balloon pump. However, severe cardiac failure requires some form of left ventricular assist. Although surgically implantable devices are available at a
20 number of centers, arrangements for their insertion is often complex and involves many delays. A number of centers also do not have access to any implantable technology despite having an active cardiac transplant program. This invention would
25 allow left atrial drainage without thoracotomy and the establishment of left ventricular assist using universally available centrifugal pumps while arrangements were made either for surgical insertion of a more permanent implantable device or while a
30 donor heart was found.

-19-

Finally, this device could be considered for post-cardiotomy left ventricular assist by inserting the device in the operating room after heart surgery. In this setting, the patient may have
05 failed to separate from the heart-lung machine and will require several days of temporary left ventricular assist. Insertion in this setting need not be under fluoroscopic control but could be directed by the surgeon through the groin to the
10 heart. The needle assembly and catheter could be directed across the septum by feeling the cannula through the wall of the right atrium while still on cardiopulmonary bypass. The advantage of this approach over direct surgical cannulation of the
15 heart would be that the chest would not have to be reopened several days later when the system was ready to be removed. The risk of bleeding around surgical cannulation sites would be eliminated and the risk of postoperative mediastinal infection
20 would be reduced.

Equivalents

Those skilled in the art will recognize, or be able to ascertain, using no more than routine experimentation, many equivalents to the specific
25 embodiments of the invention described herein.

These and all other equivalents are intended to be encompassed by the following claims.

-20-

CLAIMS

1. A cannulation system for draining blood from the left atrium of the heart through a blood vessel comprising as an assembly to be inserted
05 through the blood vessel:
a catheter having a distal end and a proximal end, said distal end including an orifice and an axial cavity, the cavity width being reduced at the orifice;
10 a guide wire;
a needle;
said guide wire and said needle located axially in the catheter such that either the guide wire or the needle can alternately be
15 extended through the orifice at the catheter distal end; and
the needle having a stiffness such that the catheter and cannula can be passed over the needle and through the atrial septum, but
20 flexible enough to pass through a blood vessel.
2. A cannulation system as recited in Claim 1 further comprising:
a cannula, having a distal end and a proximal end, surrounding the catheter, said
25 cannula distal end having a hole located transverse to the longitudinal axis of the cannula such that the transverse hole of the cannula slides over the exterior of the catheter.

-21-

3. A cannulation system, as recited in Claim 2,
further comprising:
a flexible, hollow cannula hub with a
distal end and a proximal end;
05 said cannula hub distal end being
clampable such that blood in the cannula will
not pass through the cannula hub proximal end.
4. A cannulation system, as recited in Claim 3,
10 further comprising:
a hollow reducer plug with a distal end
and a proximal end;
said reducer plug distal end being
connected to said cannula hub proximal end; and
15 said reducer plug proximal end surrounding
said catheter proximal end, the interior
diameter of the reducer plug being smaller than
the interior diameter of the cannula hub.
5. A cannulation system, as recited in Claim 4, in
20 which the catheter proximal end further
comprises:
a male connector with a distal end and a
proximal end, said male connector distal end
being coupled to said reducer plug proximal
25 end;
a dual lumen elastic bushing which is
positioned in the male connector proximal end;
said bushing holding the guide wire and the
needle, said male connector proximal end
30 including a holder for the bushing; and

-22-

a closing ring positioned at the male connector proximal end such that a friction fit prevents the guide wire and the needle from moving in the catheter axially if desired.

- 05 6. A cannulation system, as recited in any of Claims 2-5, further comprising:
- a plurality of holes on the side of said cannula;
- 10 a peel-away sheath assembly including a thin-walled tube with a tapered end which covers the plurality of holes on the side of the cannula,
- said tube being scored such that it can be pulled back from the cannula and peeled away.
- 15 7. A cannulation system, as recited in Claim 6, further comprising a hub which is molded onto the thin-walled tube.
8. A cannulation system, as recited in any of Claims 2-7, in which the cannula is coated with
- 20 an anti-thrombogenic coating.
9. A cannulation system, as recited in any preceding Claim, in which the guide wire has a curved flexible end such that the guide wire can guide the cannulation system in a blood
- 25 vessel and the guide wire can be extended from the catheter orifice to assess the distance from the catheter orifice to a body part.

-23-

10. A cannulation system, as recited in any preceding Claim, in which said needle further comprising a needle wire with a distal end and a proximal end, and a molded hub attached to the needle wire proximal end, said needle wire is positioned within the inner metal tube such that movement of the molded hub towards the catheter distal end advances the needle wire distal end through the inner metal tube distal end.
11. A cannulation system, as recited in any preceding Claim, further comprising a guide wire and needle length which are long enough to allow a substantial length to extend out of the body at the groin for manipulation even when the distal ends of the guide wire and needle are positioned in the heart.
12. A cannulation system, as recited in any preceding Claim, in which the guide wire is further comprised of a core wire and a stainless steel spring wire such that said spring wire is wrapped around said core wire.
13. A cannulation system, as recited in any preceding Claim, in which the needle further comprises a metal tube with a narrowed distal end such that a predetermined length of tube can extend out of the catheter orifice but a thicker tube width is stopped at the orifice.

-24-

14. A cannulation system, as recited in Claim 13,
in which the tube further comprises an inner
metal tube and an outer metal tube, such that
the inner metal tube is longer and narrower
05 than the outer metal tube and a molded hub
which joins the inner and outer metal tubes
coaxially, said inner metal tube having a
distal end which is rounded to prevent scraping
within the catheter, said inner metal tube
10 being of a diameter to pass through the
catheter orifice, said outer metal tube being
of a diameter such that it cannot pass through
the catheter orifice such that the inner metal
tube cannot protrude beyond a fixed distance
15 from the catheter orifice.
15. A cannulation system, as recited in Claim 14,
in which said inner and outer metal tubes have
a proximal end, said tube proximal end further
comprising a hub with a pointer such that the
20 angular orientation of the pointer indicates
the spatial orientation of the curved distal
end of the needle.
16. A cannulation system, as recited in any
preceding Claim, in which the distal end of the
25 needle may be preformed to a curve by the
operator.

-25-

17. A cannula peel-away sheath assembly comprising:
a cannula including a plurality of holes
located on the side of the cannula parallel to
the longitudinal axis of the cannula; and
05 a peel-away sheath assembly including a
thin-walled tube with a tapered end which
covers the plurality of holes on the side of
the cannula,
said tube is scored such that the sheath
10 assembly prevents the cannula holes from
accumulating fat particle debris when passing
through subcutaneous fat prior to reaching a
blood vessel; and
said sheath assembly can be pulled back
15 and peeled away after the cannula is within the
blood vessel.
18. A method of percutaneous transseptal left
atrial ventricular assist for extracting
oxygenated blood from the left atrium of the
20 heart by a venous cannulation system and
returning the blood to the body by an arterial
cannula after passing through an
extra-corporeal pump comprising the steps of:
(a) inserting the venous cannulation
25 system into the femoral vein, said venous
cannulation system including a guide wire and a
needle located in a catheter with a distal end
orifice and a cannula riding over the exterior
of the catheter;

-26-

- (b) advancing the guide wire through the catheter orifice and moving the catheter over the guide wire through the inferior vena cava to the septum in the right atrium of the heart;
- 05 (c) withdrawing the guide wire into the catheter and extending the needle distal end to the septum;
- (d) piercing the septum with the needle to form a hole;
- 10 (e) moving the catheter over the needle assembly to further dilate the septal hole;
- (f) moving the cannula into the left atrium to further dilate the septal hole;
- (g) withdrawing the guide wire, the needle, and the catheter from the cannula; and
- 15 (h) draining oxygenated blood from the left atrium through the venous cannula to the extra-corporeal pump and back to the body through the arterial cannula.
- 20 19. A method of inserting a cannula into a blood vessel, said cannula including a plurality of holes located on the side of the cannula parallel to the longitudinal axis of the cannula, comprising the steps of:
- 25 (a) attaching a peel-away sheath assembly to the cannula to cover the cannula holes, said peel-away sheath assembly including a thin-walled tube, said tube is scored;

-27-

05

(b) inserting the cannula peel-away sheath assembly into a blood vessel by passing through a subcutaneous layer of fat such that said sheath assembly prevents the cannula holes from accumulating fat particle debris; and

(c) pulling back and peeling away the sheath assembly after the cannula is within the blood vessel.

1/7

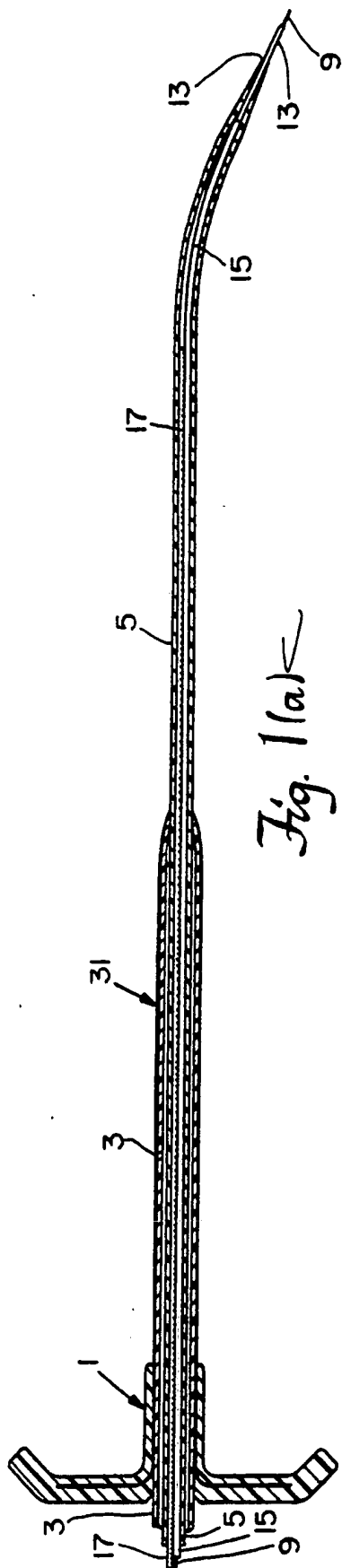


Fig. 1(a)

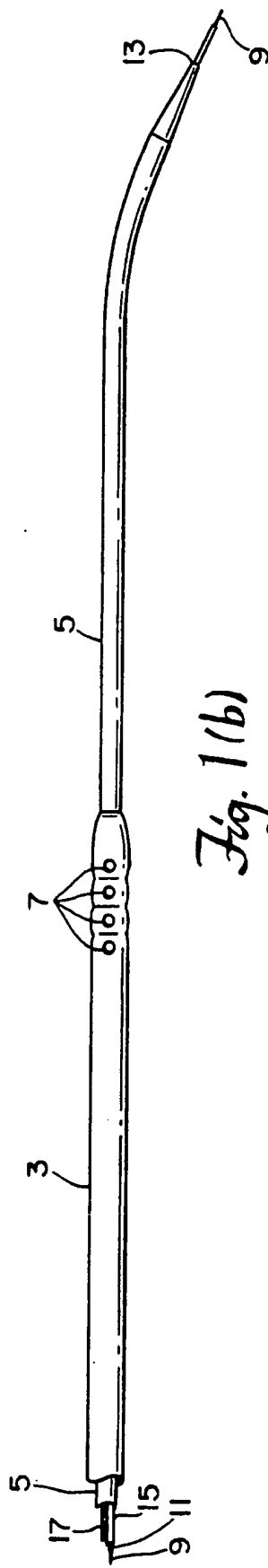


Fig. 1(b)

SUBSTITUTE SHEET

2/7

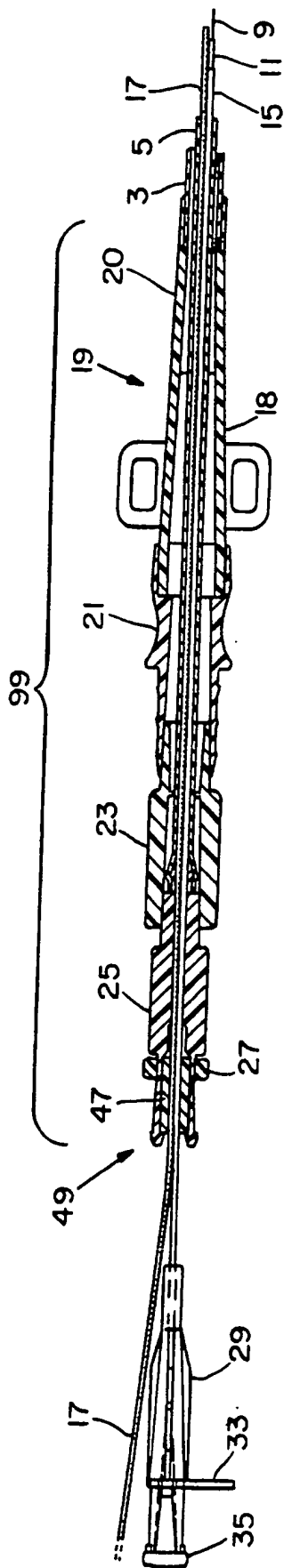


Fig. 2(a)

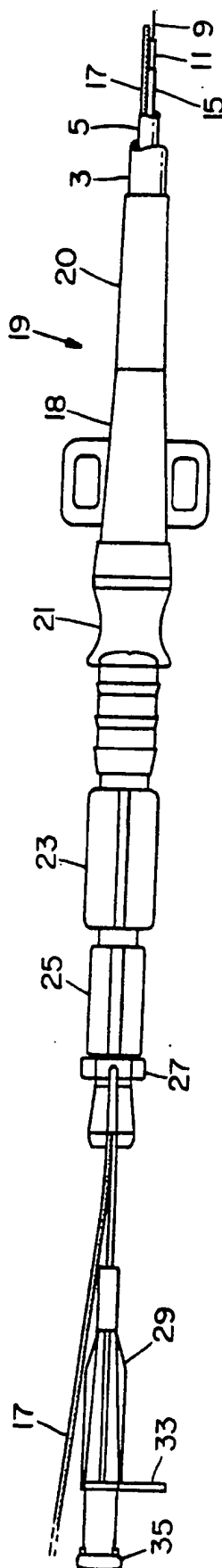
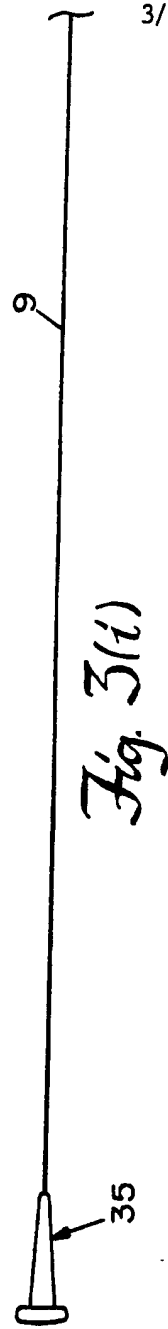
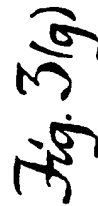
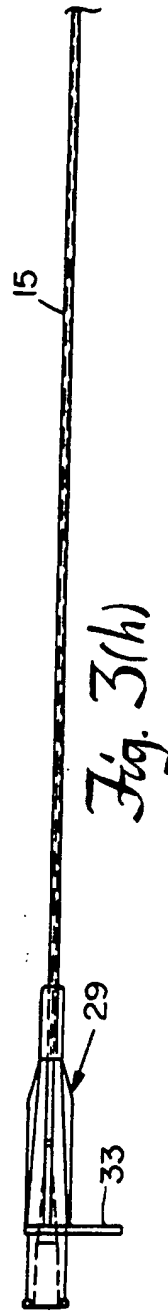
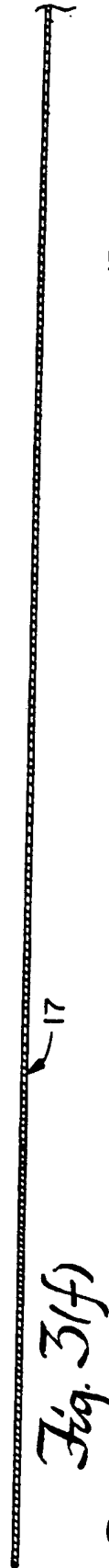
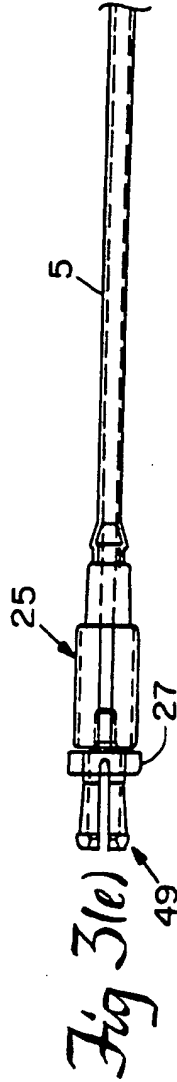
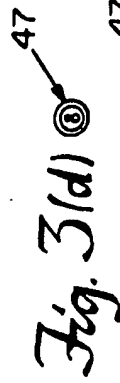
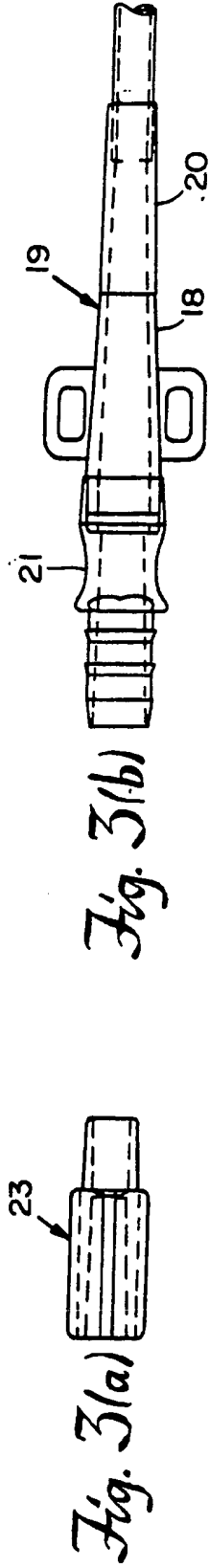


Fig. 2(b)



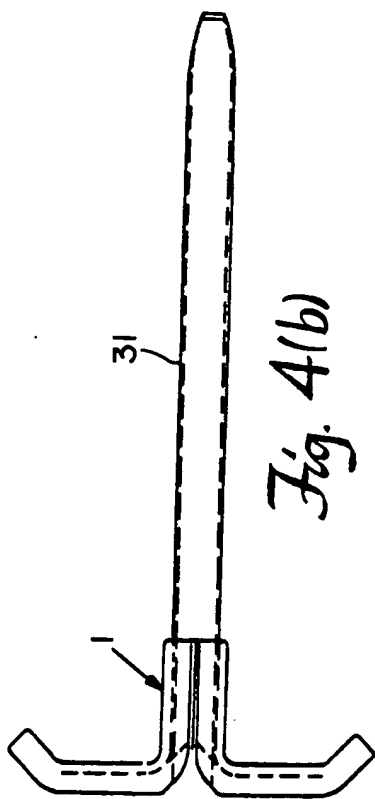


Fig. 4(b)

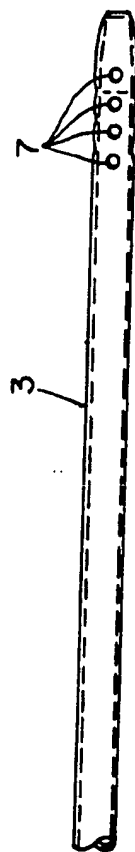


Fig. 4(a)

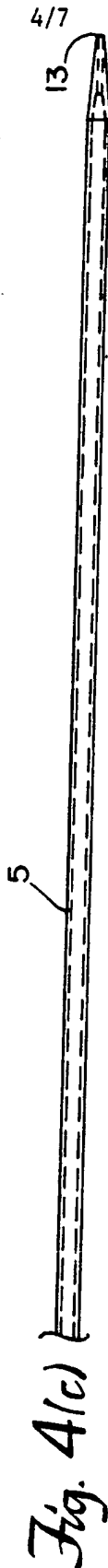


Fig. 4(c)

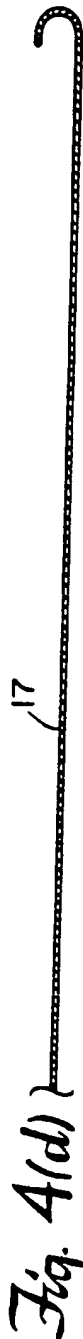


Fig. 4(d)

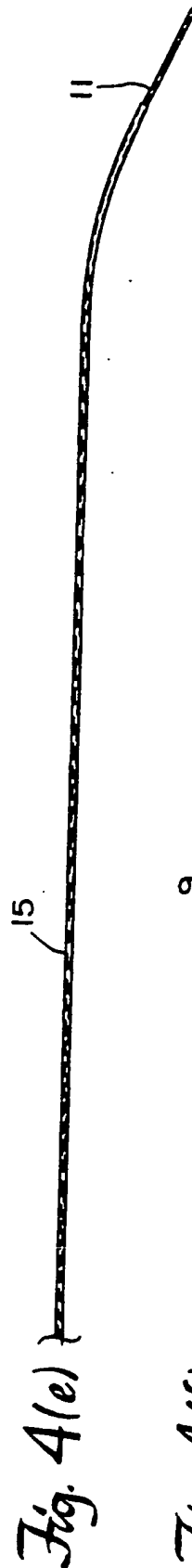
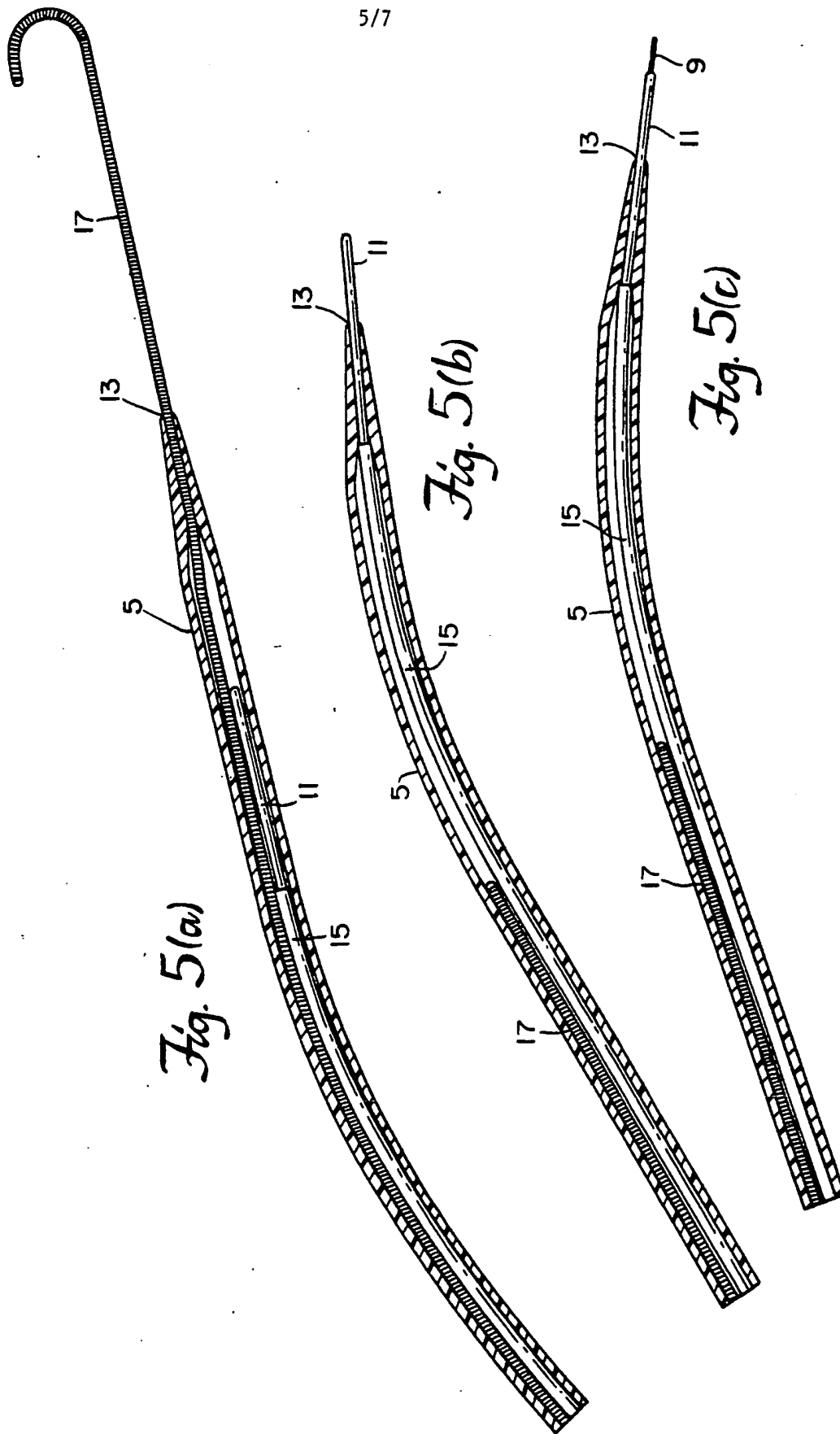


Fig. 4(e)

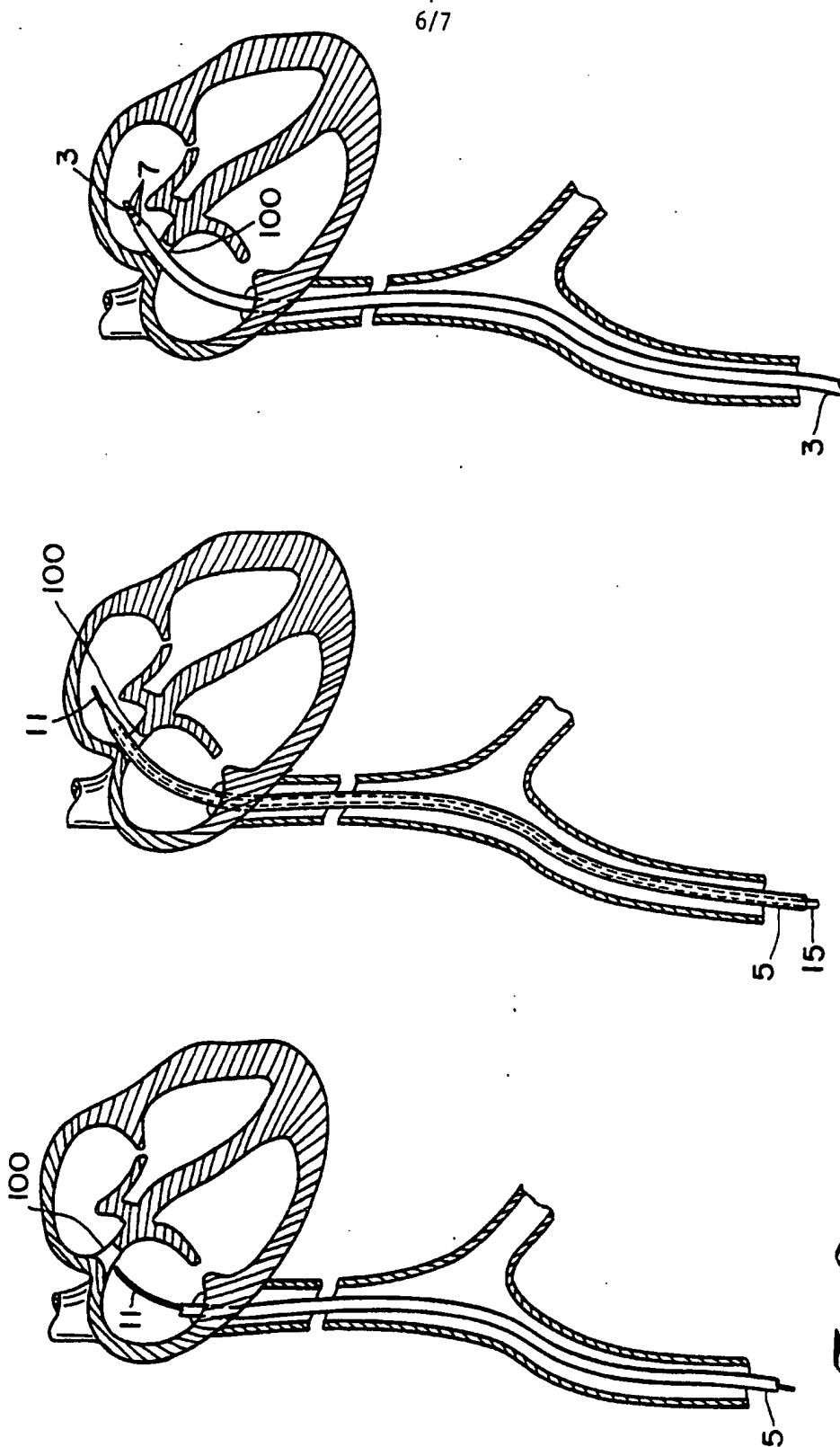


Fig. 4(f)

5/7



SUBSTITUTE SHEET



7/7

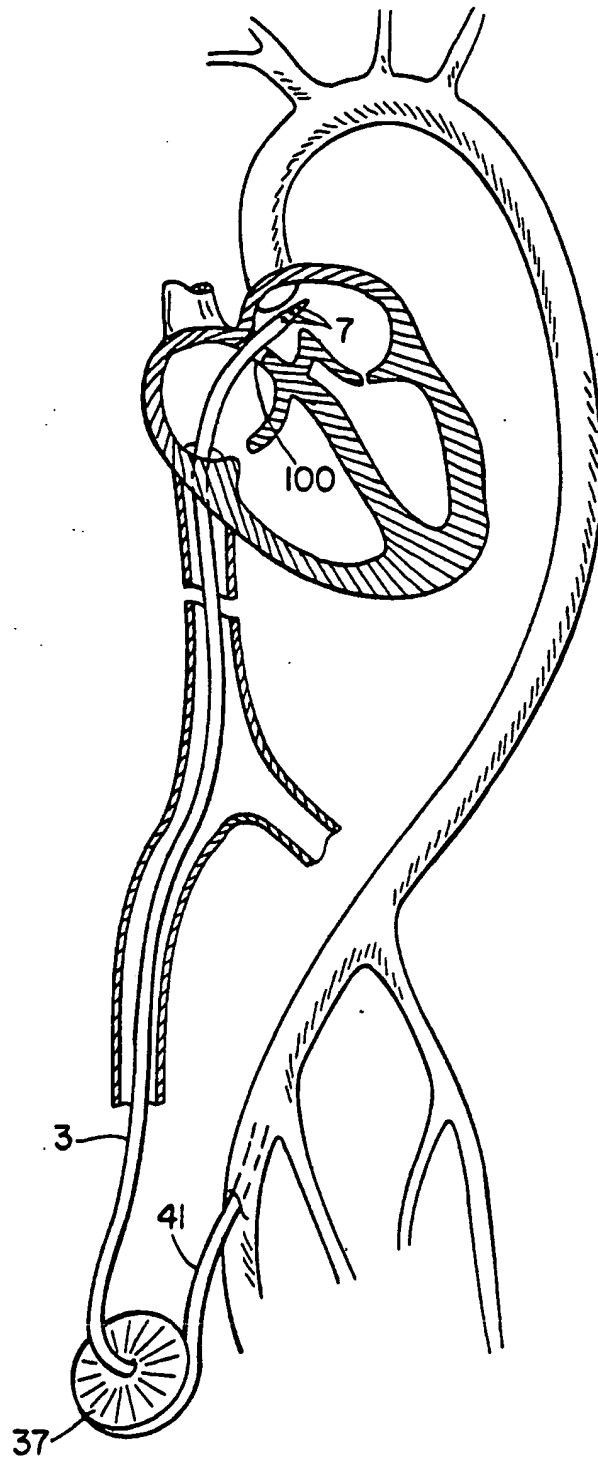
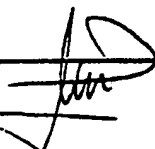


Fig. 7

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 91/07710

I. CLASSIFICATION OF SUBJECT MATTER (If several classification symbols apply, indicate all) ⁶		
According to International Patent Classification (IPC) or to both National Classification and IPC		
Int.Cl. 5 A61M25/01		
II. FIELDS SEARCHED		
Minimum Documentation Searched ⁷		
Classification System	Classification Symbols	
Int.Cl. 5	A61M ; A61B	
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched ⁸		
III. DOCUMENTS CONSIDERED TO BE RELEVANT⁹		
Category ¹⁰	Citation of Document, ¹¹ with indication, where appropriate, of the relevant passages ¹²	Relevant to Claim No. ¹³
A	WO,A,8 901 797 (SURGICAL DYNAMICS) 9 March 1989 see abstract; figures 1-2,5,9,17 ---	1-19
A	US,A,4 790 825 (BERNSTEIN ET AL.) 13 December 1988 cited in the application see the whole document ---	1-19
<p>¹⁰ Special categories of cited documents:</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&" document member of the same patent family</p>		
IV. CERTIFICATION		
Date of the Actual Completion of the International Search	Date of Mailing of this International Search Report	
1 19 FEBRUARY 1992	25. 02. 92	
International Searching Authority	Signature of Authorized Officer	
EUROPEAN PATENT OFFICE	MIR Y GUILLEN V. 	

**ANNEX TO THE INTERNATIONAL SEARCH REPORT
ON INTERNATIONAL PATENT APPLICATION NO. US 9107710
SA 53336**

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the European Patent Office EDP file on
The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information. 19/02/92

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO-A-8901797	09-03-89	US-A- 4863430	05-09-89
		AU-B- 607374	28-02-91
		AU-A- 2421288	31-03-89
		EP-A- 0374183	27-06-90

US-A-4790825	13-12-88	DE-A- 3728371	31-03-88
		FR-A- 2605519	29-04-88
		GB-A- 2194735	16-03-88
		JP-A- 63220859	14-09-88

EPO FORM PCT/91

For more details about this annex : see Official Journal of the European Patent Office, No. 12/82

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☒ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.